

# Bratteli Diagrams and the Unitary Duals of Locally Finite Groups

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Two representations  $\varphi : G \rightarrow U(\mathcal{H})$  and  $\psi : G \rightarrow U(\mathcal{H})$  are **unitarily equivalent** if there exists  $A \in U(\mathcal{H})$  such that

$$\psi(g) = A \varphi(g) A^{-1} \quad \text{for all } g \in G.$$

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## Definition

The unitary representation  $\varphi : G \rightarrow U(\mathcal{H})$  is **irreducible** if there are no nontrivial proper  $G$ -invariant **closed** subspaces  $0 < W < \mathcal{H}$ .

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where  $z \in \mathbb{T}$  and  $\varphi_z(k)$  is multiplication by  $z^k$ .

- The **multiplicity-free** unitary representations of  $\mathbb{Z}$  can be parameterized by the Borel probability measures  $\mu$  on  $\mathbb{T}$  so that the following are equivalent:
  - (i) the representations  $\varphi_\mu, \varphi_\nu$  are unitarily equivalent;
  - (ii) the measures  $\mu, \nu$  have the same null sets.

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- Then  $U(\mathcal{H})$  is a Polish group and hence  $U(\mathcal{H})^G$  with the product topology is a Polish space.
- The set  $\text{Rep}(G) \subseteq U(\mathcal{H})^G$  of unitary representations is a closed subspace and hence  $\text{Rep}(G)$  is a Polish space.
- The set  $\text{Irr}(G)$  of irreducible representations is a  $G_\delta$  subset of  $\text{Rep}(G)$  and hence  $\text{Irr}(G)$  is also a Polish space.

## Definition

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# Borel equivalence relations

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## Theorem (Mackey)

The unitary equivalence relation  $\approx_G$  on  $\text{Irr}(G)$  is an  $F_\sigma$  equivalence relation.

## Theorem (Hjorth-Törnquist)

The unitary equivalence relation  $\approx_G^+$  on  $\text{Rep}(G)$  is an  $F_{\sigma\delta}$  equivalence relation.

## Definition (Mackey)

The Borel equivalence relation  $E$  on the Polish space  $X$  is *smooth* if there exists a Borel map  $f : X \rightarrow \mathbb{R}$  such that

$$x E y \iff f(x) = f(y).$$

# Smooth vs Nonsmooth

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## Theorem (Mackey)

Orbit equivalence relations arising from Borel actions of **compact** Polish groups on a Polish spaces are smooth.

## Corollary

If  $G$  is a countable group, then unitary equivalence for **finite dimensional** irreducible unitary representations of  $G$  is smooth.

# The Glimm-Thoma Theorem

## Theorem (Glimm-Thoma)

*If  $G$  is a countable group, then the following are equivalent:*

- (i)  $G$  is **not** abelian-by-finite.*
- (ii)  $G$  has an infinite dimensional irreducible representation.*
- (iii) The unitary equivalence relation  $\equiv_G$  on the space  $\text{Irr}(G)$  of infinite dimensional irreducible unitary representations of  $G$  is **not** smooth.*

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## Question

*Does this mean that we should abandon all hope of finding a “**satisfactory classification**” for the irreducible unitary representations of the other countable groups?*

## Definition (Friedman-Kechris)

Let  $E, F$  be Borel equivalence relations on the Polish spaces  $X, Y$ .

- $E \leq_B F$  if there exists a Borel map  $\varphi : X \rightarrow Y$  such that

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In this case,  $f$  is called a **Borel reduction** from  $E$  to  $F$ .

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- $E \sim_B F$  if both  $E \leq_B F$  and  $F \leq_B E$ .
- $E <_B F$  if both  $E \leq_B F$  and  $E \not\sim_B F$ .

# The Glimm-Effros Dichotomy

## Theorem (Harrington-Kechris-Louveau)

If  $E$  is a Borel equivalence relation on the Polish space  $X$ , then exactly one of the following holds:

- (i)  $E$  is smooth; or
- (ii)  $E_0 \leq_B E$ .

## Definition

$E_0$  is the Borel equivalence relation on  $2^{\mathbb{N}}$  defined by:

$$x E_0 y \iff x_n = y_n \text{ for all but finitely many } n.$$

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## Example

Baer's classification of the rank 1 torsion-free abelian groups is essentially a Borel reduction to  $E_0$ .

# When it's bad, it's worse ...

## Theorem (Hjorth 1997)

*If the countable group  $G$  is not abelian-by-finite, then there exists a  $U(\mathcal{H})$ -invariant Borel subset  $X \subseteq \text{Irr}(G)$  such that the unitary equivalence relation  $\approx_G \upharpoonright X$  is **turbulent**.*

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## Remark

This is a **much more serious obstruction** to the existence of a “satisfactory classification” of the irreducible unitary representations of  $G$ .

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## Question (Dixmier-Effros-Thomas)

Do there exist countable groups  $G, H$  such that

- (i)  $G, H$  are not abelian-by-finite; and
- (ii)  $\approx_G, \approx_H$  are **not** Borel bireducible?

# When it's bad, it's worse ...

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## Conjecture (Thomas)

If  $G$  is a nonabelian free group and  $H$  is a “*suitably chosen*” amenable group, then  $\approx_H <_B \approx_G$ .

# Nonabelian free groups

## Notation

$\mathbb{F}_n$  denotes the free group on  $n$  generators for  $n \in \mathbb{N}^+ \cup \{\infty\}$ .

## Observation

*If  $G$  is any countable group, then  $\approx_G$  is Borel reducible to  $\approx_{\mathbb{F}_\infty}$ .*

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*If  $G$  is any countable group, then  $\approx_G$  is Borel reducible to  $\approx_{\mathbb{F}_\infty}$ .*

## Proof.

If  $\theta : \mathbb{F}_\infty \rightarrow G$  is a surjective homomorphism, then the induced map

$$\text{Irr}(G) \rightarrow \text{Irr}(\mathbb{F}_\infty)$$

$$\varphi \mapsto \varphi \circ \theta$$

is a Borel reduction from  $\approx_G$  to  $\approx_{\mathbb{F}_\infty}$ . □

## Theorem

$\approx_{\mathbb{F}_\infty}$  is Borel reducible to  $\approx_{\mathbb{F}_2}$ .

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## Sketch Proof.

If  $f : \mathbb{N} \rightarrow \mathbb{N}$  be a suitably fast growing function, then we can induce representations from

$$\mathbb{F}_\infty = \langle a^{f(n)} b a^{-f(n)} \mid n \in \mathbb{N} \rangle \leq N = \langle a^m b a^{-m} \mid m \in \mathbb{N} \rangle$$

to the free group  $\mathbb{F}_2 = \langle a, b \rangle$ . □

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## Question

- Does  $H \leq G$  imply that  $\approx_H$  is Borel reducible to  $\approx_G$ ?
- In particular, is  $\approx_{\mathbb{F}_2}$  Borel reducible to  $\approx_{SL(3, \mathbb{Z})}$ ?

# A suitably chosen amenable group?

## Definition

A countable group  $G$  is *amenable* if there exists a left-invariant finitely additive probability measure  $\mu : \mathcal{P}(G) \rightarrow [0, 1]$ .

## Some Candidates?

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- A countably infinite **extra-special**  $p$ -group  $P$ ; i.e.  $P' = Z(P)$  is cyclic of order  $p$  and  $P/Z(P)$  is elementary abelian  $p$ -group.

# Not quite as expected ...

- The following result is an immediate consequence of the work of Glimm (1961) and Elliot (1977).

## Theorem

*Let  $H$  be a countable locally finite group. If the countable group  $G$  is **not** abelian-by-finite, then  $\approx_H$  is Borel reducible to  $\approx_G$ .*

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## Corollary

*If  $G, H$  are countable locally finite groups, neither of which is abelian-by-finite, then  $\approx_G$  and  $\approx_H$  are Borel bireducible.*

# The reduced $C^*$ -algebra

## Definition

If  $G$  is a countably infinite group, then the left regular representation

$$\lambda : G \rightarrow U(\ell^2(G))$$

extends to an injective  $*$ -homomorphism of the group algebra

$$\lambda : \mathbb{C}[G] \rightarrow \mathcal{L}(\ell^2(G)).$$

The **reduced  $C^*$ -algebra**  $C_{\lambda}^*(G)$  is the completion of  $\mathbb{C}[G]$  with respect to the norm  $\|x\|_r = \|\lambda(x)\|_{\mathcal{L}(\ell^2(G))}$ .

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## Remark

If  $G$  is amenable, then there is a canonical correspondence between the irreducible representations of  $G$  and  $C_\lambda^*(G)$ .

# Approximately finite dimensional $C^*$ -algebras

## Definition

A  $C^*$ -algebra  $A$  is *approximately finite dimensional* if  $A = \overline{\bigcup_{n \in \mathbb{N}} A_n}$  is the closure of an increasing chain of finite dimensional sub- $C^*$ -algebras  $A_n$ .

## Example

If  $G = \bigcup_{n \in \mathbb{N}} G_n$  is a locally finite group, then  $C_\lambda^*(G) = \overline{\bigcup_{n \in \mathbb{N}} \mathbb{C}[G_n]}$  is approximately finite dimensional.

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## Remark

Every finite dimensional  $C^*$ -algebra is isomorphic to a direct sum

$$\text{Mat}_{n_1}(\mathbb{C}) \oplus \cdots \oplus \text{Mat}_{n_t}(\mathbb{C})$$

of full matrix algebras.

# Bratteli Diagrams

## Theorem

If  $G = \bigcup_{n \in \mathbb{N}} G_n$  is a locally finite group, then the following are equivalent:

- (i)  $G$  is *not* abelian-by-finite.
- (ii) There exists a subsequence  $(\ell_n \mid n \in \mathbb{N})$  and irreducible representations  $\pi_n \in \text{Irr}(G_{\ell_n})$  such that for all  $n \in \mathbb{N}$ ,  
 $(\pi_n, \pi_{n+1} \upharpoonright G_{\ell_n}) \geq 2$ .

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- (iii)  $\lim_{n \rightarrow \infty} \max\{\deg \pi \mid \pi \in \text{Irr}(G_n)\} = \infty$ .

## Question

Is there an “*elementary*” proof of this result?

# Elliot's Theorem

- Extending Glimm's Theorem, Elliot proved:

## Theorem (Elliot 1977)

*If  $\mathcal{A}$  is an approximately finite-dimensional  $C^*$ -algebra and  $\mathcal{B}$  is a separable  $C^*$ -algebra such that  $\approx_{\mathcal{B}}$  is non-smooth, then  $\approx_{\mathcal{A}}$  is Borel reducible to  $\approx_{\mathcal{B}}$ .*

## Corollary (Elliot 1977)

*If  $\mathcal{A}, \mathcal{B}$  are approximately finite-dimensional  $C^*$ -algebras such that  $\approx_{\mathcal{A}}, \approx_{\mathcal{B}}$  are non-smooth, then  $\approx_{\mathcal{A}}$  and  $\approx_{\mathcal{B}}$  are Borel bireducible.*

# Even less as expected ...

## Theorem (Sutherland 1983)

Let  $H = \bigoplus_{n \in \mathbb{N}} \text{Sym}(3)$ . If  $G$  is *any* countable amenable group, then  $\approx_G$  is Borel reducible to  $\approx_H$ .

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## Corollary

If  $G, H$  are countable amenable groups, neither of which is abelian-by-finite, then  $\approx_G$  and  $\approx_H$  are Borel bireducible.

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## Remark

The theorem ultimately depends upon the Ornstein-Weiss Theorem that if  $G, H$  are countable amenable groups, then any free ergodic measure-preserving actions of  $G, H$  are **orbit equivalent**.

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- Then  $K$  acts freely and ergodically on  $(Z, \mu)$
- For each **irreducible** cocycle  $\sigma : K \times Z \rightarrow U(\mathcal{H})$ , there exists a corresponding irreducible representation

$$\pi_\sigma : H \rightarrow U(L^2(Z, \mathcal{H})).$$

# Irreducible cocycles

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$$\alpha(g, x) b(x) = b(g \cdot x) \beta(g, x) \quad \mu\text{-a.e. } x \in Z.$$

# Irreducible cocycles

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$$\alpha(g, x) b(x) = b(g \cdot x) \beta(g, x) \quad \mu\text{-a.e. } x \in Z.$$

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## The heart of the matter

If  $K' \curvearrowright (Z', \mu')$  is orbit equivalent to  $K \curvearrowright (Z, \mu)$ , then the “**cocycle machinery**” is isomorphic via a Borel map.

# Coding representations in cocycles

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- Then the shift action of  $\Gamma$  on  $(X, \nu)$  is (essentially) free and strongly mixing.
- For each irreducible representation  $\varphi : G \rightarrow U(\mathcal{H})$ , we can define an irreducible cocycle  $\sigma_\varphi : (G \times Z) \times X \rightarrow U(\mathcal{H})$  by

$$\sigma_\varphi(g, z, x) = \varphi(g)$$

## Definition

Let  $\text{Irr}(E_0)$  be the space of irreducible cocycles

$$\sigma : K \times Z \rightarrow U(\mathcal{H})$$

and let  $\approx_{E_0}$  be the equivalence relation defined by

$$\sigma \approx_{E_0} \tau \iff \text{Hom}(\sigma, \tau) \neq 0.$$

## Theorem

If the countable group  $G$  is amenable but not abelian-by-finite, then the unitary equivalence relation  $\approx_G$  is Borel bireducible with  $\approx_{E_0}$ .

# Summing up ...

## Definition

Let  $\text{Irr}(E_\infty)$  be the space of irreducible cocycles

$$\sigma : \mathbb{F}_2 \times 2^{\mathbb{F}_2} \rightarrow U(\mathcal{H})$$

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The unitary equivalence relation  $\approx_{\mathbb{F}_2}$  is Borel bireducible with  $\approx_{E_\infty}$ .

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*If the countable group  $G$  is amenable but not abelian-by-finite, then the unitary equivalence relation  $\approx_G$  is Borel bireducible with  $\approx_{E_0}$ .*

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## The Main Conjecture/Dream

*$\approx_{E_\infty}$  is **not** Borel reducible to  $\approx_{E_0}$ .*