

# Algebra I: UFDs, poly. rings

if exam is soon!  
 • I'm gone on Tues.  
 • Off Shift on Wed.

8.3  
 9.1-9.2

In  $\mathbb{Z}$ , there is another way to compute gcds: prime factorizations!

Def:  $R$  int. domain.

- $r \in R$  not 0 or a unit.  $r$  is irreducible in  $R$  if  $r = ab \Rightarrow a$  or  $b$  a unit.
- $p \in R \setminus \{0\}$  is prime if  $(p)$  is a prime ideal; i.e.,  $p | ab \Rightarrow p | a$  or  $p | b$ .
- $a, b$  are associate if  $a = ub$ ,  $u$  a unit

Prop: primes are always irred

Pf: If  $(p)$  prime,  $p = ab$ , then  $ab = p \in (p)$   
 $\Rightarrow a$  or  $b$  is in  $(p)$ . WLOG,  $a \in (p)$ , say  
 $a = pr$ .  $\Rightarrow p r = ab = p r b \Rightarrow r b = 1 \Rightarrow b \in R^\times$   
(domain)  $\Rightarrow p$  irred.

Converse true in  $\mathbb{Z}$ : Bezout's Lemma! (irred. = usual def of prime).

~~False in  $\mathbb{Z}[x]$~~

Prop: In a PID, a non-zero elt. is prime  $\Leftrightarrow$  irred.

Pf | WNTB irred  $\Rightarrow$  prime.

$\S$   $p$  is irred, Let  $M \supseteq (p)$  be an ideal.  $M = (m)$ , as  $R = \text{PID}$ .  
 $\Rightarrow p \in (m) \Rightarrow p = rm$  some  $r$ .  $p$  irred  $\Rightarrow r$  or  $m$  a unit  
 $\Rightarrow (p) = (m)$  or  $(m) = R$   
(associate) (contains unit).  $\Rightarrow (p)$  is max $^\dagger \Rightarrow (p)$  is prime  
 $\Rightarrow p$  is prime.

Defn: A UFD  $B$  an int. domain  $R$  s.t. <sup>for</sup> every non-zero non-unit  $r$ :

- 1)  $r = p_1 \cdots p_n$ , some irred.  $p_1, \dots, p_n$
- 2) This factorization is unique up to associates.

Ex: We'll show: PID  $\Rightarrow$  UFD.  
 $R$  UFD  $\Rightarrow R[x]$  UFD.

$\mathbb{Z}[\sqrt{-5}]$  not a PID:

$$6 = 2 \cdot 3 = (1 + \sqrt{-5})(1 - \sqrt{-5}) \quad (*)$$

$$N(a + b\sqrt{-5}) = a^2 + 5b^2, \quad N(\alpha)N(\beta) = N(\alpha\beta), \quad N(\alpha) = 1 \Leftrightarrow \alpha = \pm 1$$

$\Leftrightarrow a^2 + 5b^2 = 1 \Leftrightarrow d = \pm 1$   $\Leftrightarrow d$  a unit

So if  $N(\alpha)$  is prime,  $\alpha$  is irred.

More generally,  $\alpha$  reducible  $\Rightarrow \exists$  proper factor  $d$  of  $N(\alpha)$  s.t.  $\exists$  elt. of norm  $d$ .

~~that~~  $\Rightarrow$  all of these elts are irred. Note FLT would be easy if all these are UFDs!

But in reals,  $(*) \Rightarrow (2)$  is not prime.

$$\text{factor } (6) = (2, 1 + \sqrt{-5})^2 \cdot (3, 1 + \sqrt{-5}) (3, 1 - \sqrt{-5}).$$

Alg # theorem: products of prime ideals is unique (reason for name ideal)

Prop: In a UFD, prime  $\Leftrightarrow$  irred.

Pf:  $R$  a UFD. WNTS irred  $\Rightarrow$  prime

Let  $p \in R$  be irred,  $a \nmid p$  &  $b \nmid p$ . Want  $pld$  or  $plb$ .

$plab \Rightarrow ab = pc$ , some  $c$ . Rewrite  $a, b = \text{prod. of irred.}$

By uniqueness of prime fact.,  $ab = pc \Rightarrow p$  is assoc. to an irred. in  $a$  or  $b$  (possibly empty)

say  $p$  assoc. to irred. factor of  $a = (u_1)p_1 \cdots p_n$ ,  $\Rightarrow pl_1$  (2)

Prop:  $R$  UFD  
 $a = u p_1^{e_1} \dots p_n^{e_n}$ ,  $b = v p_1^{f_1} \dots p_n^{f_n}$  (unit)  
 $\Rightarrow d = p_1^{\min(e_1, f_1)} \dots p_n^{\min(e_n, f_n)} = \text{gcd of } a, b.$

Th PID  $\Rightarrow$  UFD

Pr:  $\exists R$  a PID,  $r \in R$  non-zero, non-unit  
 Find a prime factor:  
 $r$  irred.  $\Rightarrow$  done.

otherwise,  $r = r_1 r_2$ , neither a unit  $(r) \subsetneq (r_1) \subseteq R.$

If both units, done. If not, keep going.  $\dots r_1 = r_{11} r_{12} = \dots$

If this doesn't terminate, get infinite chain (axiom of choice)  
 $(r) \subsetneq (r_1) \subsetneq (r_{11}) \subsetneq \dots \subsetneq R.$

Claim: this can't happen in a PID.

$R \neq I_1 \subseteq I_2 \subseteq \dots \subseteq R$ , then the chain stabilizes.

Let  $I = \bigcup_{n \geq 1} I_n$  an ideal,  $\Rightarrow I = (a)$

$\Rightarrow a \in I$ , and so  $a \in I_n$ , some  $I_n. \Rightarrow (a) = I \subseteq I_n$   
 $\Rightarrow I = I_n.$

$\Rightarrow$  every non-zero elt. factorizes as irred.

Uniqueness:  $\exists r = p_1 \dots p_n = q_1 \dots q_m, m \geq n$

$p_1 | RHS \Rightarrow p_1$  divides a  $q_j$ , say  $q_1$  by ordering.  
 (prop. irred=prime)  $\Rightarrow q_1 = p_1 u$ ,  $u$  unit as  $q_1$  is irred.

$\Rightarrow p_1 p_2 \dots p_n = u p_1 q_2 \dots q_m \Rightarrow p_2 \dots p_n = q_2 \dots q_m$   
 Done by induction all factors match up!  $\underline{R \text{ assoc.}}$

Cor: Fund. Theorem of arithmetic in  $\mathbb{Z}$ !  $ED \Rightarrow PID \Rightarrow UFD.$   
 (3)

For fun: In book: Arithmetic in  $\mathbb{Q}[i] \Rightarrow p = x^2 + y^2 \Leftrightarrow p \equiv 1 \pmod{4}$   
 $\nearrow p = x^2 + y^2$ ?  $x^2 + y^2$ ?  
a whole book!

Polynomial rings.  $R[x]$ ,  $R$  an int. domain Fermat!

Recall: degree:  $\deg(f \cdot g) = \deg f + \deg g$ ,  $f, g \neq 0$ .

$(R[x])^{\times} = R^{\times}$ .

$R[x]$  is an int. domain

Quotient field: set of  $\frac{f}{g}$ ,  $f, g \in R[x]$ ,  $g \neq 0$ .  $R(x)$ .  
rat<sup>l</sup> fr<sup>s</sup>

Prop:  $I$  ideal of  $R$ ,  $(I) = I[x]$  ideal of  $R[x]$  gen. by  $I$ .  
(polys w/ coeffs. in  $I$ ).

Then  $R[x]/(I) \cong (R/I)[x]$ .

In part,  $I$  prime  $\Rightarrow (I)$  prime in  $R[x]$ .

Def: Nat. map  $\varphi: R[x] \rightarrow (R/I)[x]$ : reduce coeffs.  
hom is easy to check. (reduction hom) mod  $I$ !

ker = set of polys with all coeffs.  $\in I$  =  $(I)$ .

Def:  $R[x_1, \dots, x_n] = R[x_1, \dots, x_{n-1}][x_n]$  (In part...)  
Rest  $\mathbb{F} - \mathbb{Z}$  #

terms  $x_1^{d_1} \dots x_n^{d_n} = \text{monomial}$ , (moniz)  $[x_n]$  (recursive)  
 $d_1 + \dots + d_n = \text{deg of monomial}$ .  
 $\text{deg of poly} = \dots \text{max deg of monomials}$  (what it seems like)  
parts.

homogenous (form): all monomials same degree.

Polynomial Rings / Fields.

Let  $F$  field. Norm in  $F[x]$ :  $N(p(x)) = \deg(p)$ .  
+ ( $N(0) = 0$ ).

th<sup>n</sup>  $F[x]$  is a ~~LEO~~ ED under this norm.

Pf. as Let  $a, b \in F[x]$ ,  $b \neq 0$ .

want  $q, r \in F[x]$ ,

$$a = bq + r, \quad r = 0 \text{ or } \deg(r) < \deg(b).$$

If  $a = 0$ , Let  $b = r = 0$ .

If  $a \neq 0$ . Induct on  $\deg(a) = n$ . Let  $\deg(b) = m$ .

If  $n < m$ , Let  $q = 0$ ,  $r = a$ . Else,  $n \geq m$ .

Write  $a(x) = a_n x^n + \dots + a_1 x + a_0$ ,  $b(x) = b_m x^m + b_{m-1} x^{m-1} + \dots + b_0$ .  
 $a_n, b_m \neq 0$ .

Subtract away top term from  $a(x)$

$$a'(x) = a(x) - \frac{a_n}{b_m} x^{n-m} b(x) \text{ has } \deg < n.$$

Here: used  $b_m \neq 0$  is in a field.

By induction,  $\exists q', r$  s.t.

$$a' = q' b + r, \quad r = 0 \text{ or } \deg(r) < \deg(b)$$

$$\text{Let } q = q' + \frac{a_n}{b_m} x^{n-m} \Rightarrow a = qb + r,$$

These  $q, r$  are actually unique.  $\Rightarrow$

an  $q_1, r_1$  satisfy the cond<sup>s</sup> too

Then  $a - qb$ ,  $a - q_1 b$  both have  $\deg < m = \deg(b)$ .

Difference  $\equiv b(q - q_1)$  has  $\deg < m$ , but  $\deg$  is additive  $\Rightarrow q - q_1 = 0$   
(5)  $\Rightarrow q = q_1$

also  
 $\Rightarrow r = r_1$

Cor :  $F[x]$  is a PID, UFD.

Ex :  $p$  prime  $\Rightarrow \mathbb{Z}/p\mathbb{Z}[x]$   
obtained by reducing  $\mathbb{Z}[x] \pmod{p}$   
is a PID, since coeffs lie in the field  $\mathbb{Z}/p\mathbb{Z}$ .

In particular, a quotient of something that's  
not a PID may be a PID. ( $\mathbb{Z}[x]$  isn't).  
( $(2, x)$  not prime.)

Ex :  $\mathbb{Q}[x, y]$  is not a PID, as

$\mathbb{Q}[x, y] = \mathbb{Z} \mathbb{Q}[x][y]$ ,  $\mathbb{Q}[x]$  not a field  
(can't product of pos. deg. non-invertible)

Well see:  $\mathbb{Q}[x, y]$  is a PID. ~~is~~ UFD?

Start next lecture.